

FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NUMBER 1795
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/018034</b>
INTERNATIONAL APPLICATION NO. PCT/GB01/01593		INTERNATIONAL FILING DATE April 9, 2001		PRIORITY DATE CLAIMED April 7, 2000
TITLE OF INVENTION INVESTIGATING DIFFERENT PHYSICAL AND/OR CHEMICAL FORMS OF MATERIALS				
APPLICANT(S) FOR DO/EO/US KOBYLECKI, Ryszard; COWELL, Daniel; STYLIANOPOULOS, Vassilis				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.				
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.				
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).				
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).				
b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.				
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).				
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
a. <input type="checkbox"/> is attached hereto.				
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).				
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).				
b. <input type="checkbox"/> have been communicated by the International Bureau.				
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.				
d. <input type="checkbox"/> have not been made and will not be made.				
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).				
9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document(s) or information included:				
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.				
14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.				
15. <input type="checkbox"/> A substitute specification.				
16. <input type="checkbox"/> A change of power of attorney and/or address letter.				
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.				
18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).				
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				
20. <input checked="" type="checkbox"/> Other items or information:				
Itemized Postcard				

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

10701803

21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... **\$1040.00**

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$740.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**CALCULATIONS PTO USE ONLY**

\$ 890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	43 - 20 =	23	x <b>\$18.00</b>	\$ 414.00
Independent claims	5 - 3 =	2	x <b>\$84.00</b>	\$ 168.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + **\$280.00**

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1472.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$

**SUBTOTAL =**

\$ 1472.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 1472.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 1472.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 1472.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-1940. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Timothy J. Martin, P.C.  
9250 West 5th Avenue, Suite 200  
Lakewood, Colorado 80226  
(303) 232-3388

SIGNATURE

Mark H. Weygandt

NAME

43,260

REGISTRATION NUMBER

10/018034

Rec'd PCT/PTO 07 DEC 2001

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10**

I hereby certify that the foregoing **TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DE/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (2 PAGES)**, including the attached **DUPLICATE COPY OF PAGE 2 THEREOF (1 PAGE)**, **CHECK NO. 17349 FOR \$1472.00, PRELIMINARY AMENDMENT (15 PAGES)**, **TWO COPIES OF THE PUBLISHED INTERNATIONAL APPLICATION (57 PAGES EACH)**, **TRANSMITTAL OF INFORMATION DISCLOSURE (1 PAGE)**, including **FORM PTO/SB/08 (2 PAGES)** and **EIGHT REFERENCES** are being deposited with the United States Postal Service as Express Mail, Label No. ET 203174880 US addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 7th day of December, 2001.

  
Mark H. Weygandt